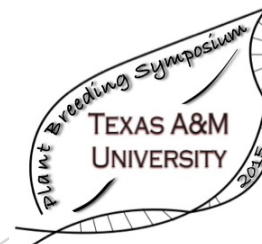




# Dr. Jianming Yu

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IOWA STATE UNIVERSITY  
PROFESSOR OF AGRONOMY



Texas A&M Plant Breeding Symposium  
February 19, 2015  
10:00 – 11:00 am



# **Genomic Selection: Historical Context, Technical Details, Empirical Findings, and Perspectives**

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Raymond F. Baker Center for Plant Breeding



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Xiaoqing Yu (ISU)

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Jinyu Wang (ISU)

Paul White (ISU)

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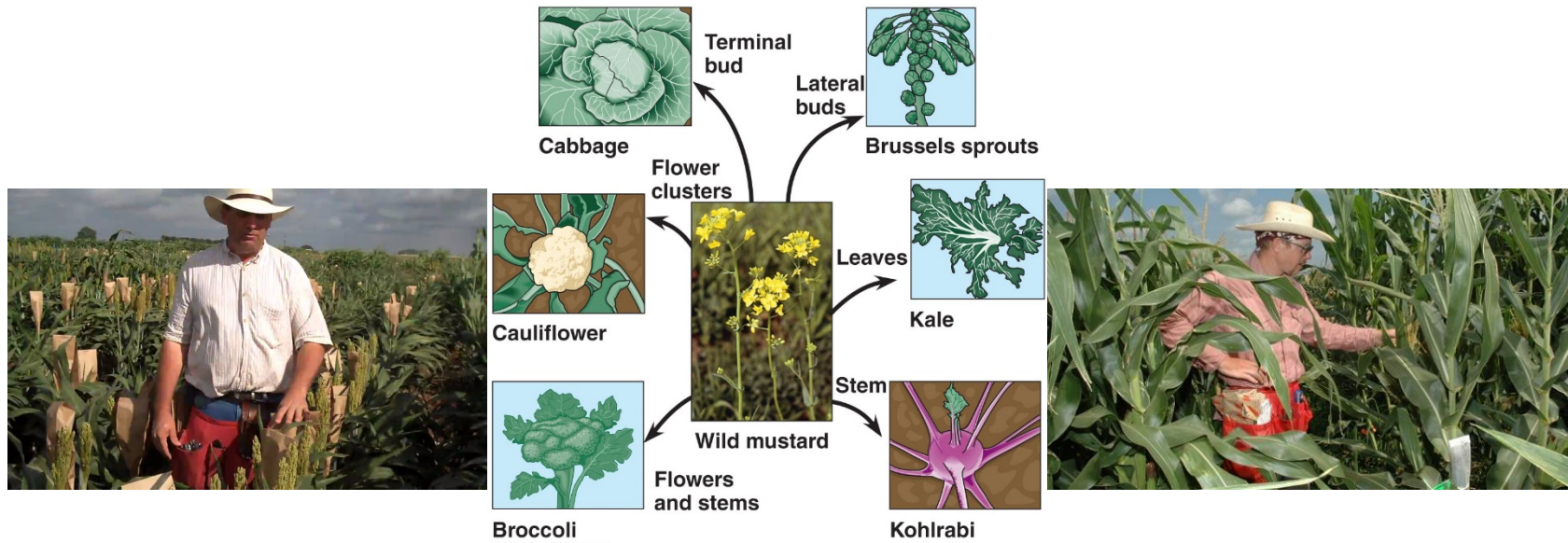
Kevin Price (AgPixel)

# Outline

- Genomic Selection
  - Historical Context & Technical Details
  - Breeder's 10 Tenets
- Empirical Findings
  - 44,733, 1,000, 300, 200, 100, 50, 50
- Perspectives
  - Optimizing GS following Breeder's Tenets

# What is “Selection”?

- Differential viability (survival) and fertility (reproduction) among genotypes. Natural selection *versus* artificial selection
- In plant breeding, selection changes allele frequencies and genotype frequencies.
- Selection happens at different stages of the breeding cycle.



# What is not “Genomic” Selection?

- Selection based on observations (from the unreplicated trial)
- Selection based on rankings from the replicated, multi-environment trials
- Selection on best linear unbiased prediction of the phenotypes on the individual and its relatives

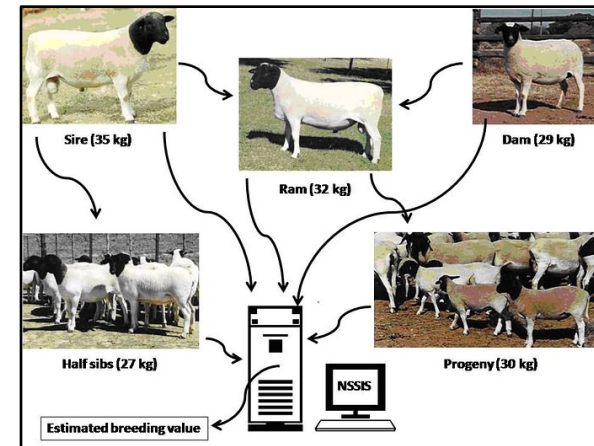


Parallel selection for non-shattering  
Nature Genetics 44:720–724



Texas A&M AgriLife Extension  
Service photo by Dr. Gaylon Morgan

Breeding value for weaning weight of a ram



# *What is not “Genomic” Selection?*

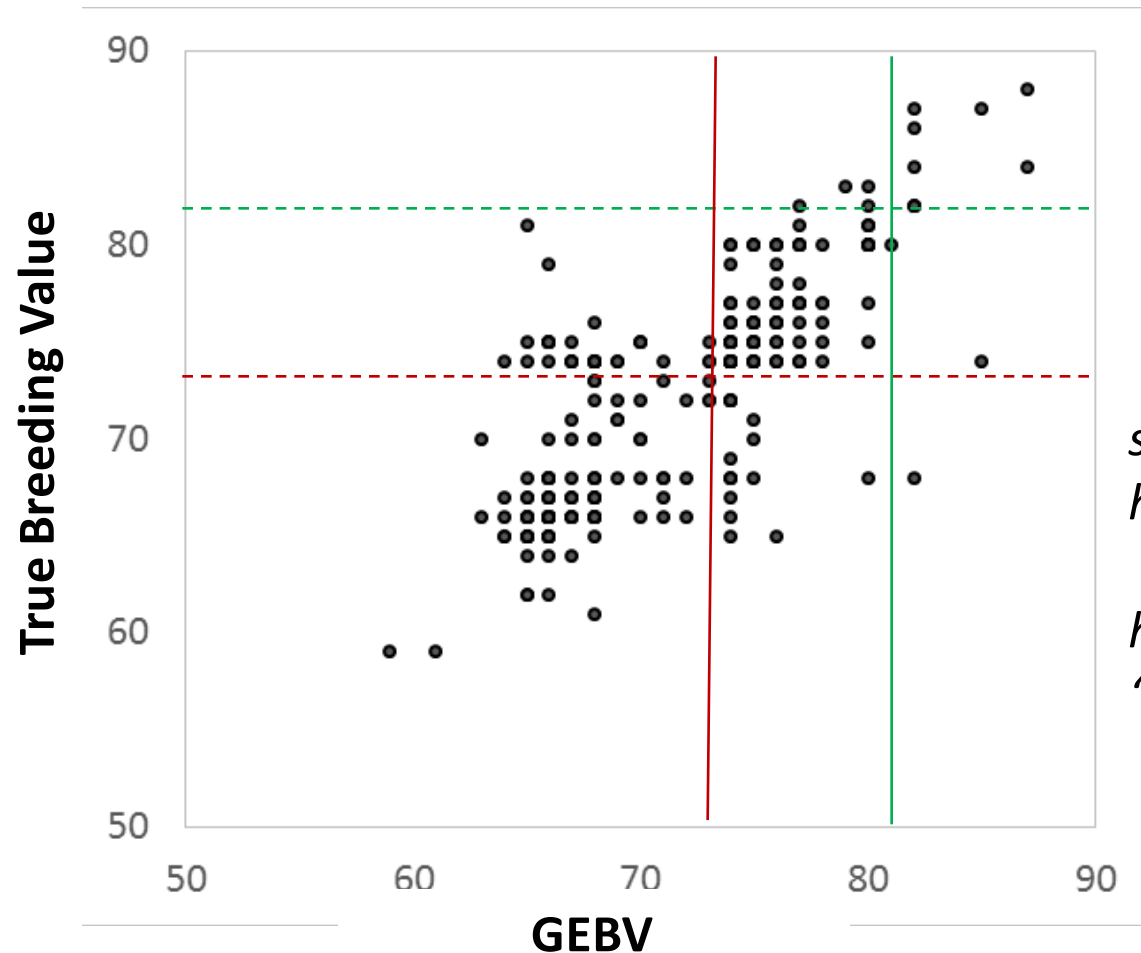
- Genotyping and selection based on known markers (*e.g.*, disease resistance, insect resistance, or major QTLs)
  - Assume we have identified the informative markers
- Genotyping and selection based on sum of estimated genotypic values across multiple QTLs
  - Assume we have detected multiple QTLs
  - Assume the summation across individual QTL effects provides a good estimate of the overall genotypic value
  - $G_Q = \sum_{k=1}^q (\alpha_i^k + \alpha_j^k)$ , where  $q$  is the number of declared QTLs



# *What is Genomic Selection?*

- Genotyping and selection based on Genomic Estimated Breeding Value (GEBV)
  - $G_{EBV} = \sum_{k=1}^m (\alpha_i^k + \alpha_j^k)$ , where  $m$  is the number of genome-wide markers
  - Assume we can have accurate estimates of marker effects
  - Assume  $G_{EBV}$  is a better estimate of the overall genotypic value than  $G_Q$
  
- A procedure where genetic merits are predicted for unphenotyped individuals so that selection can be made
  - **Model Training** Genome-wide marker information across a group of phenotyped individuals is used in developing the prediction model
  - **Prediction** Prediction is made for a different group of unphenotyped individuals but with genome-wide marker information
  - **Selection** **Either** directly advance the breeding generation, **or** significantly narrow down the candidate pool before field evaluation

# What is Genomic Selection?



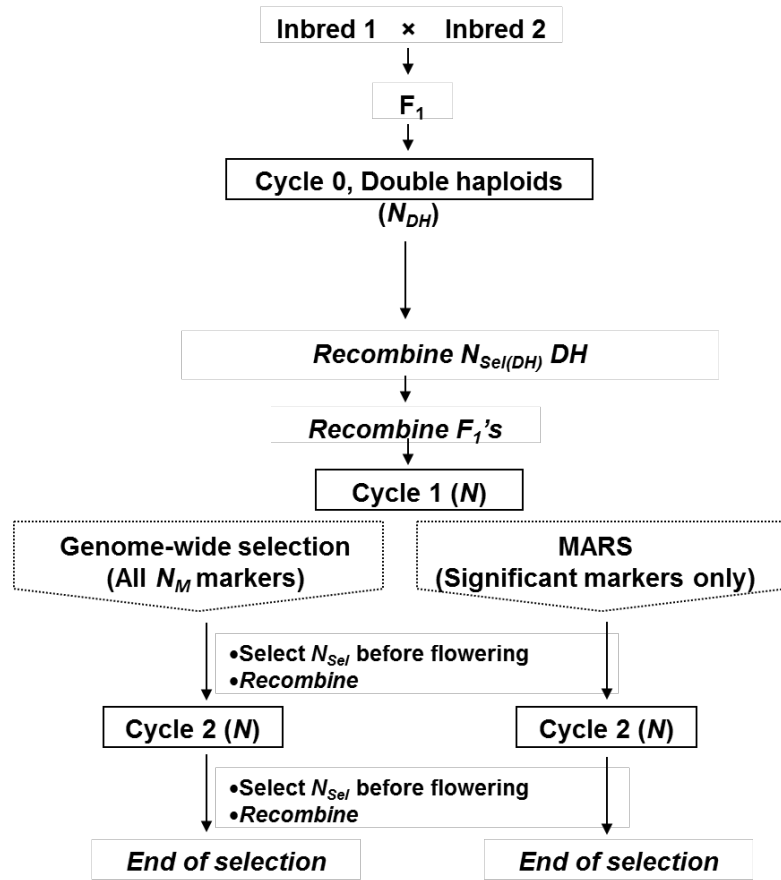
$r = 0.77$  in this case

At the start,  
*should you be thinking about  
how close your  $r$  is to "1"?*

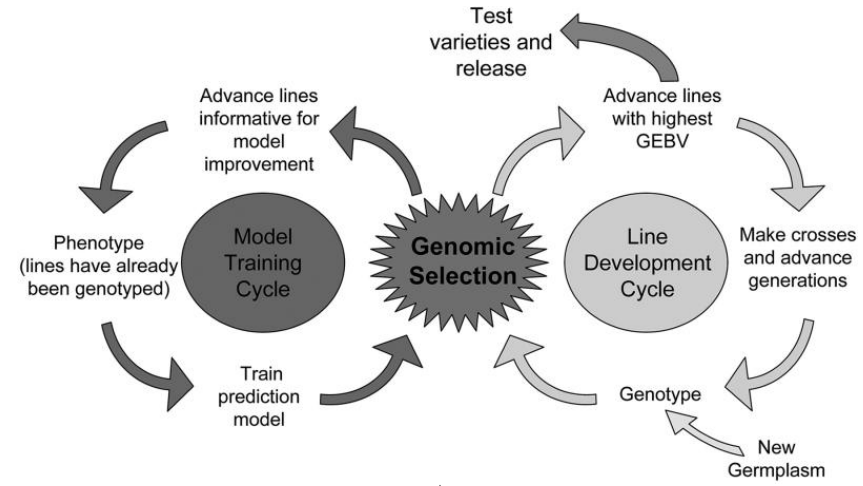
or

*how different your  $r$  is from  
"0"?*

# What is Genomic Selection?



Bernardo and Yu, 2007. *Crop Science* 47:1082-1090.



Heffner *et al.* 2009. *Crop Science* 49:1-12.

It is all about having a step to improve the plant breeding process by better utilizing resources: time and cost.

# What is Genomic Selection?

Individuals

$$y = X\beta + Ig + e$$

$$V = \text{Var}(y) = A\sigma_g^2 + I\sigma_e^2$$

Markers

$$y = X\beta + Wu + e$$

$$V = \text{Var}(y) = WW'\sigma_u^2 + I\sigma_e^2$$

$$W_{ij} = (M_{ij} - 2p_i) / \text{Sqrt}(2p_i(1-p_i))$$

$$\begin{bmatrix} \hat{\beta} \\ \hat{g} \end{bmatrix} = \begin{bmatrix} X'X & X' \\ X & I + \frac{\hat{\sigma}_e^2}{\hat{\sigma}_g^2} A^{-1} \end{bmatrix}^{-1} \begin{bmatrix} X'y \\ y \end{bmatrix}$$

Connection

$$A\sigma_g^2 = WW'\sigma_u^2$$

$$\sigma_u^2 = \sigma_g^2 / m, A = WW' / m$$

$$\begin{bmatrix} \hat{\beta} \\ \hat{u} \end{bmatrix} = \begin{bmatrix} X'X & X'W \\ W'X & W'W + \frac{\hat{\sigma}_e^2}{\hat{\sigma}_u^2} I \end{bmatrix}^{-1} \begin{bmatrix} X'y \\ W'y \end{bmatrix}$$

$$\hat{g} = A \frac{\hat{\sigma}_g^2}{\hat{\sigma}_e^2} \hat{V}^{-1} (y - X\hat{\beta})$$

$$\hat{u} = W' A^{-1} \hat{g} / m = W' (WW')^{-1} \hat{g}$$

$$\hat{\lambda} = \frac{\hat{\sigma}_e^2}{\hat{\sigma}_u^2}$$

Genomic Estimated Breeding Value (GEBV) of the individuals with only marker data

$$\hat{g}_{new} = W_{new} \hat{u} \quad G_{EBV} = \sum_{k=1}^m (\alpha_i^k + \alpha_j^k)$$

# What is Genomic Selection?

<p>Markers <math>y = X\beta + Wu + e</math></p> $\begin{bmatrix} \hat{\beta} \\ \hat{u} \end{bmatrix} = \begin{bmatrix} X'X & X'W \\ W'X & W'W + \frac{\hat{\sigma}_e^2}{\hat{\sigma}_u^2}I \end{bmatrix}^{-1} \begin{bmatrix} X'y \\ W'y \end{bmatrix}$ $V = Var(y) = WW'\sigma_u^2 + I\sigma_e^2$ $\hat{\lambda} = \frac{\hat{\sigma}_e^2}{\hat{\sigma}_u^2}$	<p>Marker Effect Estimates</p> <p><math>\hat{u}</math> estimated from <u>Training Population</u>, and used for <u>Prediction/Validation Population</u></p> <p>Prediction Accuracy</p> $r_1 = Corr(y_{new}, \hat{g}_{new})$ $r_1 = Corr(y_{new}, \hat{g}_{new}) / \sqrt{h^2}$
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- What is the distribution of quantitative trait variants?
  - **GBLUP**, Single distribution  $u \sim N(0, \sigma_u^2)$
  - **BayesA**, Different distributions  $u_k \sim N(0, \sigma_{u_k}^2), \sigma_{u_k}^2 \sim \chi^{-2}(v, s)$
  - **BayesB**,  $\sigma_{u_k}^2 = 0$  with probability of  $\pi$  AND  $\sigma_{u_k}^2 \sim \chi^{-2}(v, s)$  with probability of  $1 - \pi$
  - **BayesC <sub>$\pi$</sub>** , Mixture of  $u=0$  probability of  $\pi$  AND  $u \sim N(0, \sigma_u^2)$  with probability of  $1 - \pi$ ;  $\pi$  estimated from the data
  - **Kernel-based methods**, capturing non-additive effects

## *What Can We Do with Genomic Selection?*

- Genomic selection has been extensively studied in recent years
  - Prediction accuracy, Different models, Different genetic architectures
  - Resources allocation: “Plant breeding is a number’s game.” “Genetic gain per unit time and cost.”
- Genomic selection has been well integrated into many plant breeding programs
  - Inbred development
  - Testcross evaluation
  - Parental selection for generating breeding populations
  - Hybrid prediction

# Emerging Areas of Research

- Genomic selection under G x E
  - Environmental covariates
  - Modeling G x E prior to genomic selection
  - Reaction norm model
  - **Integration of genomic selection and crop model**
- Genomic selection for exotic germplasm introgression
- *Genomic selection for germplasm enhancement?*

# Ten Things a Successful Plant Breeder Understands - Roger Boerma

## 10 Tenets

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- 10. T

### Ten Things a Successful Plant Breeder Understands

H. Roger Boerma, Executive Director, Georgia Seed Development & Emeritus Professor, University of Georgia

4<sup>th</sup> Annual Meeting of the National Plant Breeder's Association  
8<sup>th</sup> Annual Meeting of the Plant Breeder's Society of America  
Minneapolis, MN - 6 August 2014



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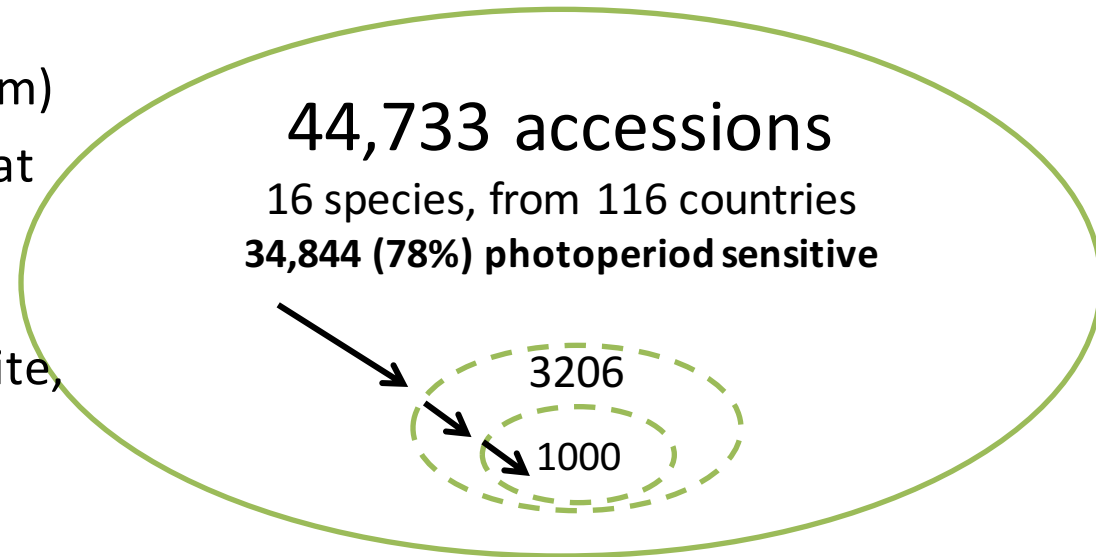
# Bioenergy Crop Breeding and Genomics

- New crops that not much breeding and genetics have been conducted in the past. But, many genomics and phenomics resources are available.
- **Genomics-Assisted Breeding**
  - *How do we tap into the vast plant **germplasm** collections for biomass crop improvement?*
  - *How do we increase the information contained in genotypic and phenotypic **data** for the selected germplasm so that this **information** can generate maximum **knowledge** to enrich our **understanding** of the **germplasm** and **genotype-phenotype relationship**?*

# Start Right with Available Germplasm Information

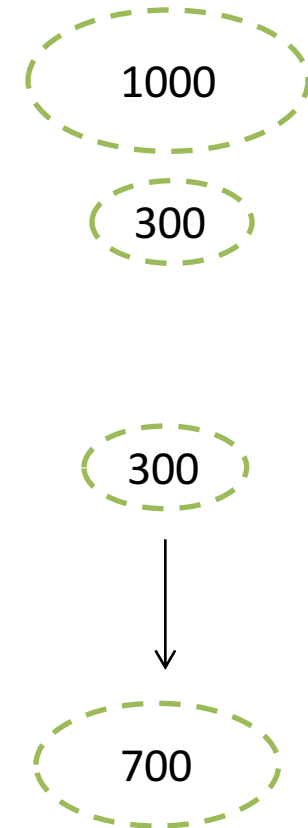
- Selection of the initial biomass sorghum accessions (base population)

- Step 1: Flowering = 6, photoperiod sensitive; Plant height (280 ~ 400cm)
- Step 2: Seed availability at GRIN for distribution
- Step 3: Germplasm information: collection site, race, height uniformity, disease, lodging, etc.



# Selective Phenotyping

- Trait evaluation of only those individuals that contain the maximum genetic information
  - Genotyping a large set of accessions
  - Select a subset for a given sample size to maximize genetic information content (allele number, gene diversity, recombination break point, etc.)
  - Mapping power and resolution
  - Prediction accuracy



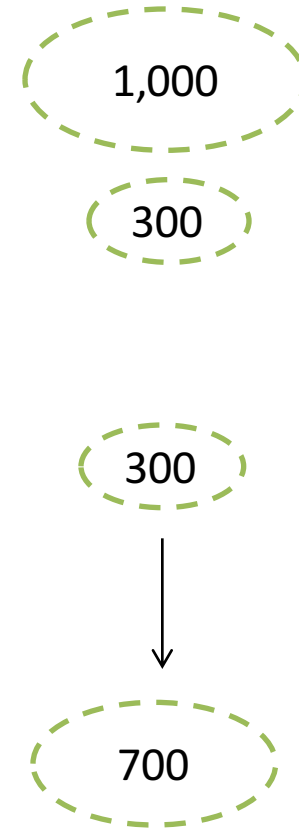
# Selective Phenotyping

Genotyping-By-Sequencing (GBS) of all

Choose most representative accessions & Phenotype

Build and evaluate genomic prediction models

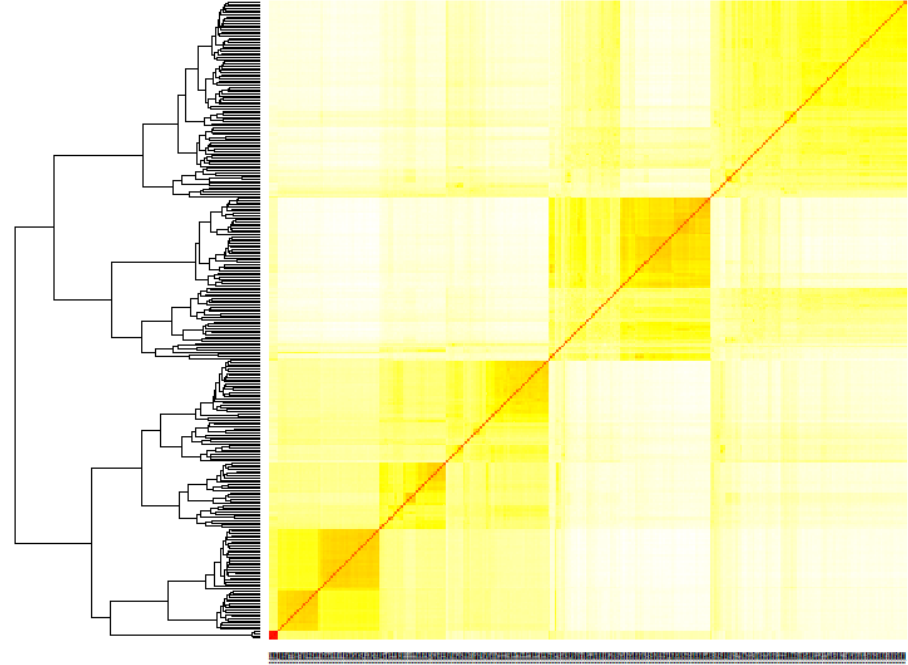
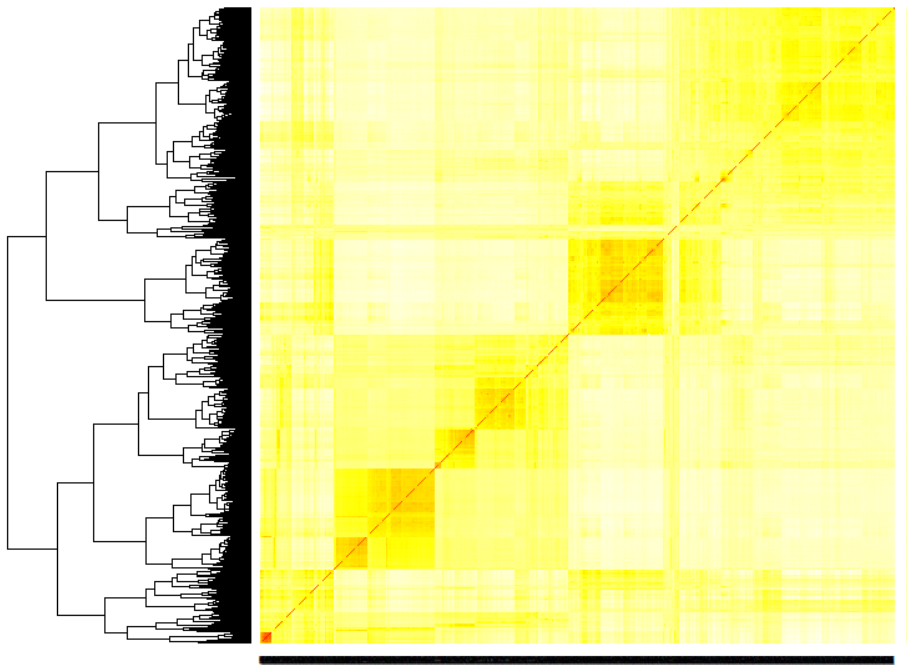
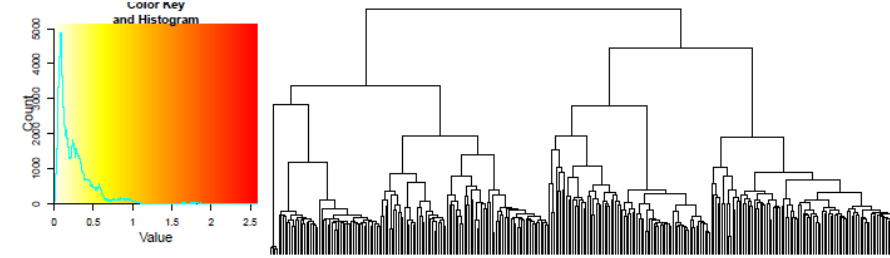
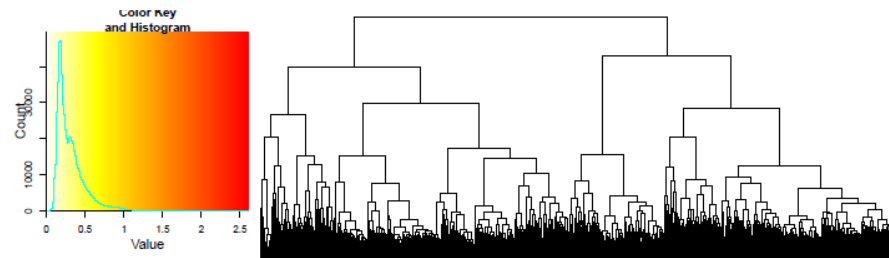
Predict genomic estimated breeding values of the rest



# Genetic Relationship

1000

300

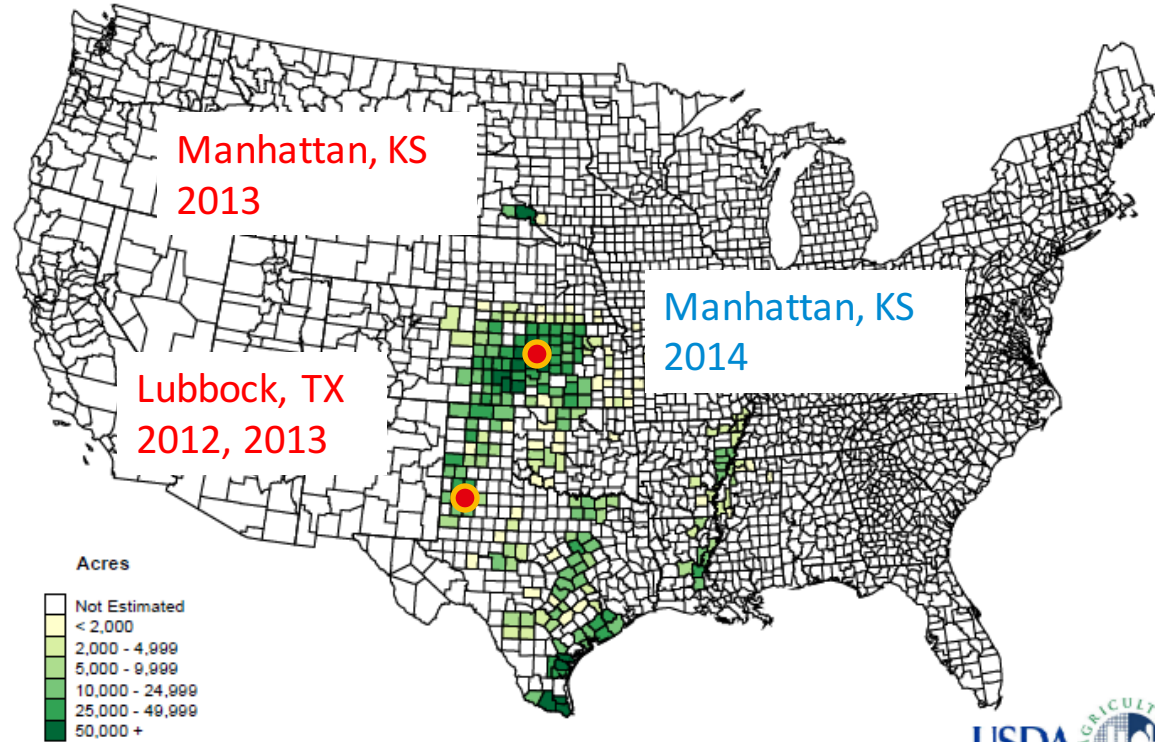


# Experiment Location

300

700  
200

Sorghum for Grain 2012  
Harvested Acres by County  
for Selected States

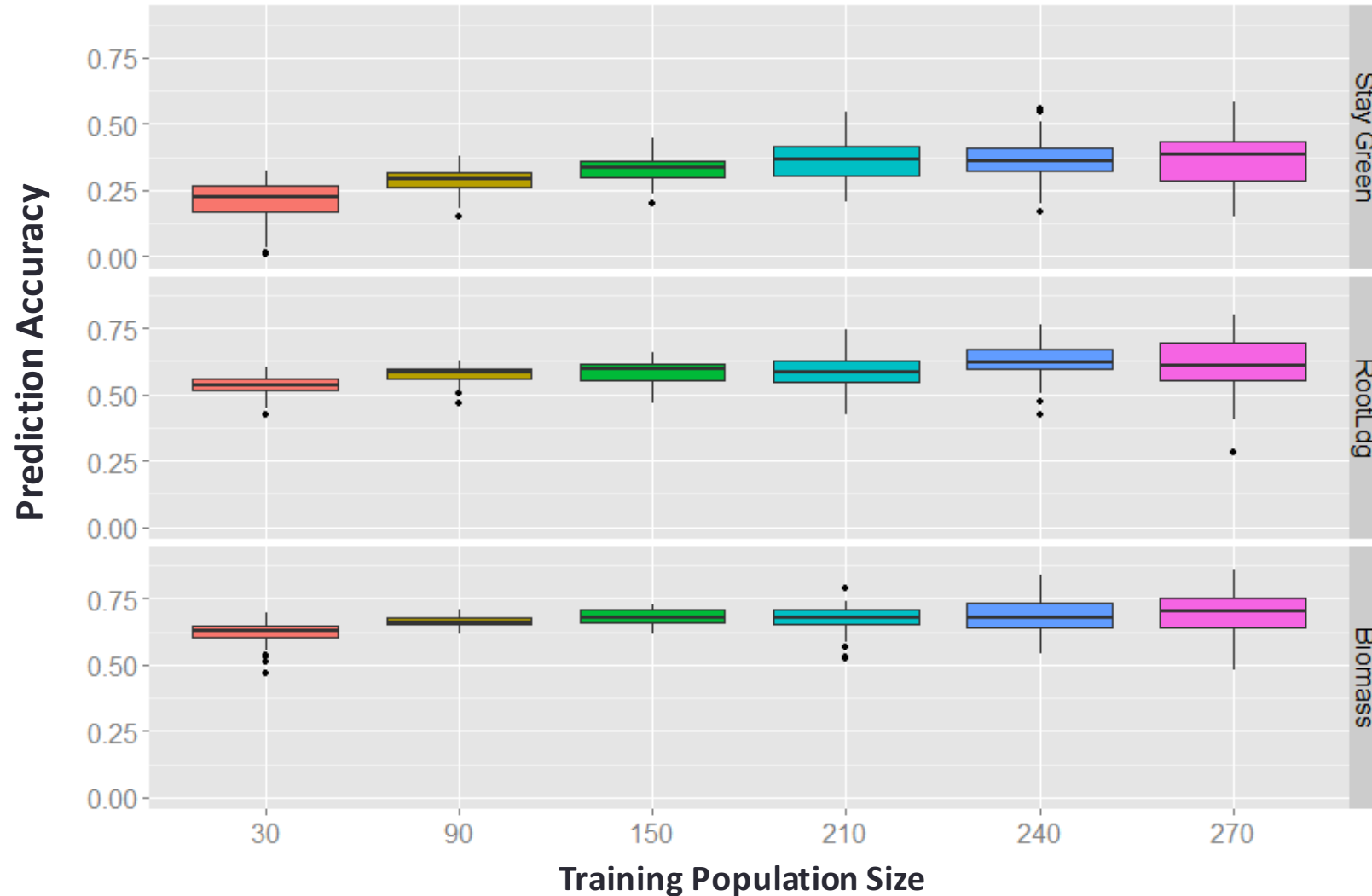


U.S. Department of Agriculture, National Agricultural Statistics Service



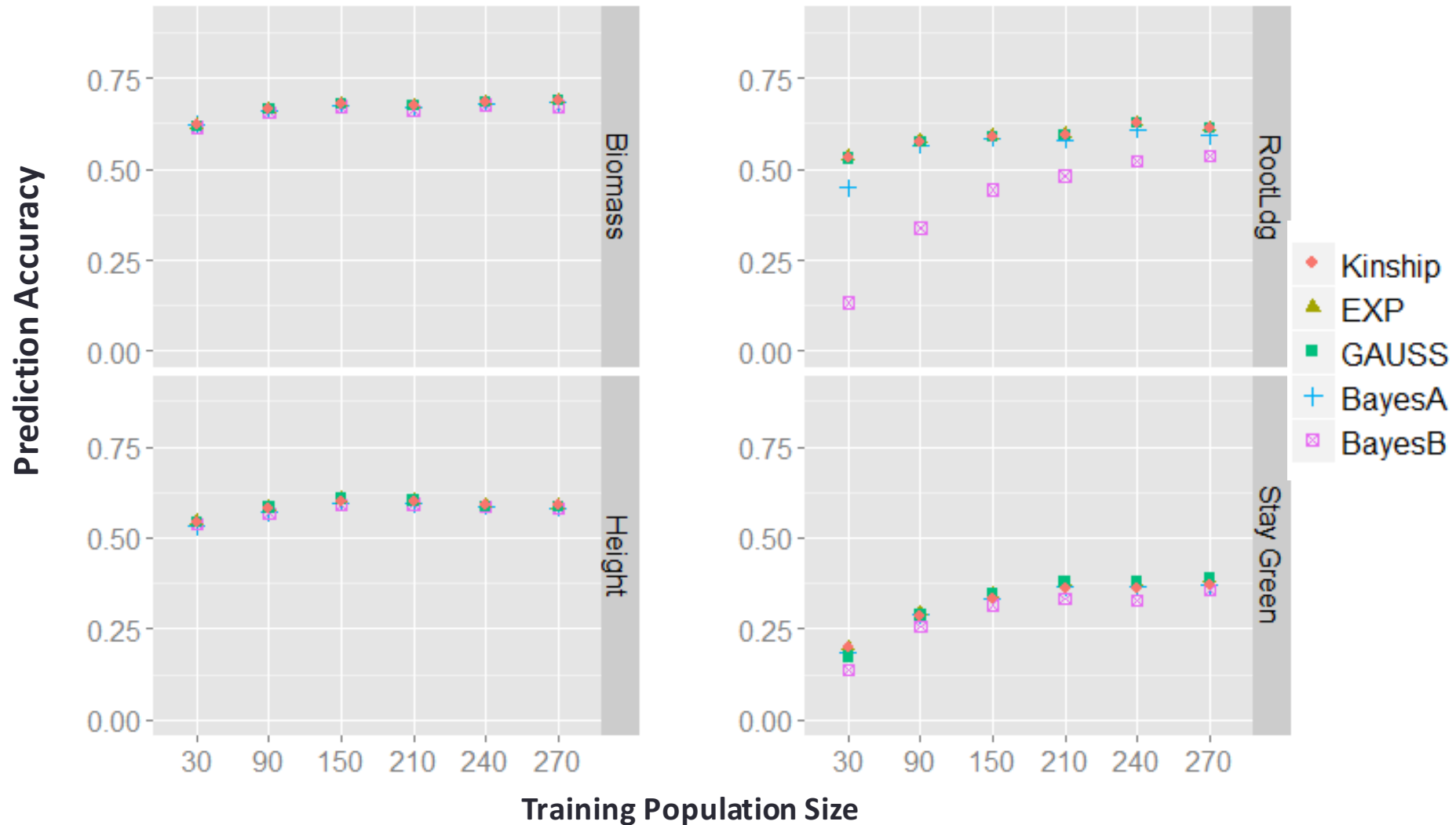
Two replications in each environment

# Prediction Potential Evaluated by Cross Validation



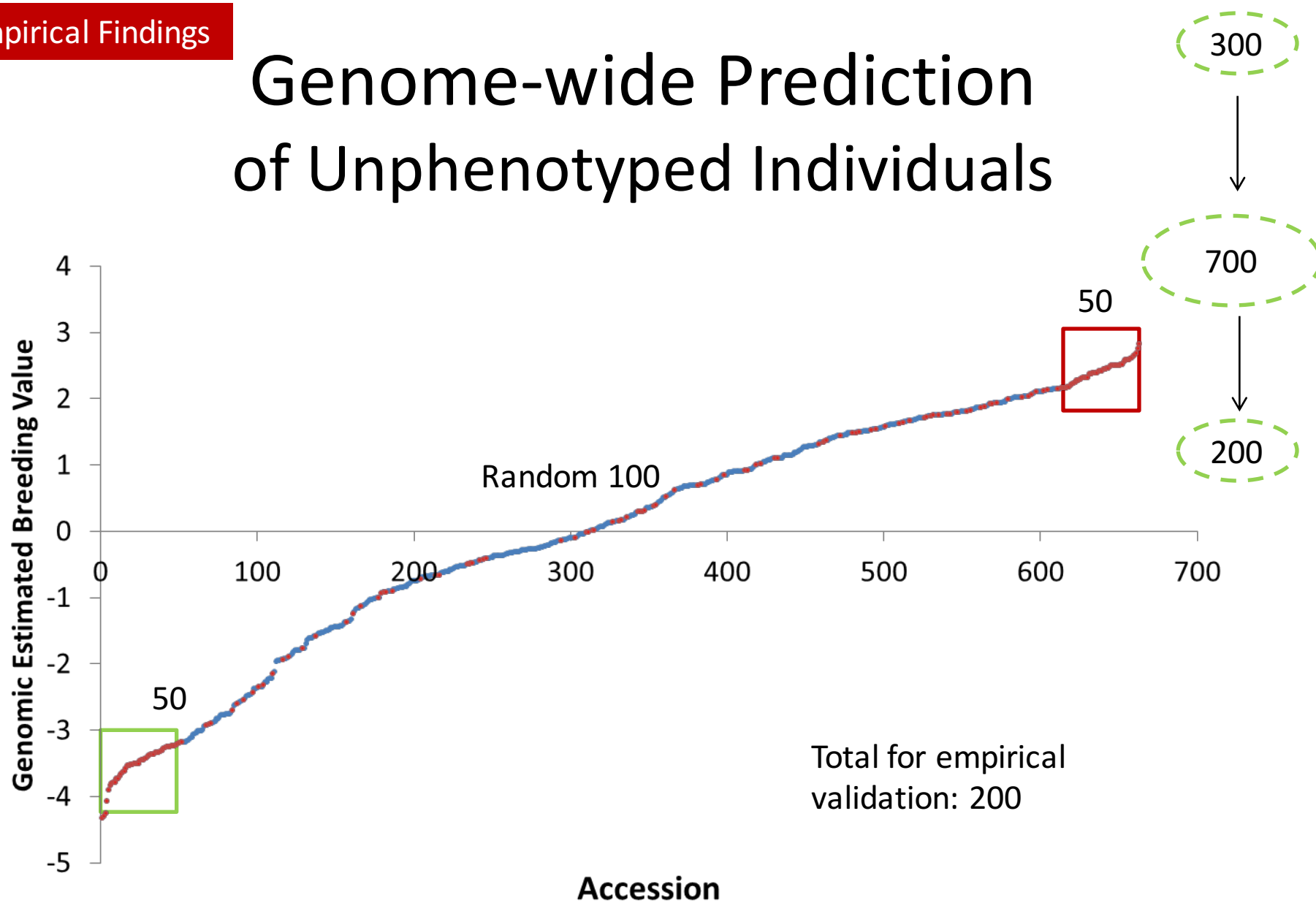
# Model Performance

## Evaluated by Cross Validation

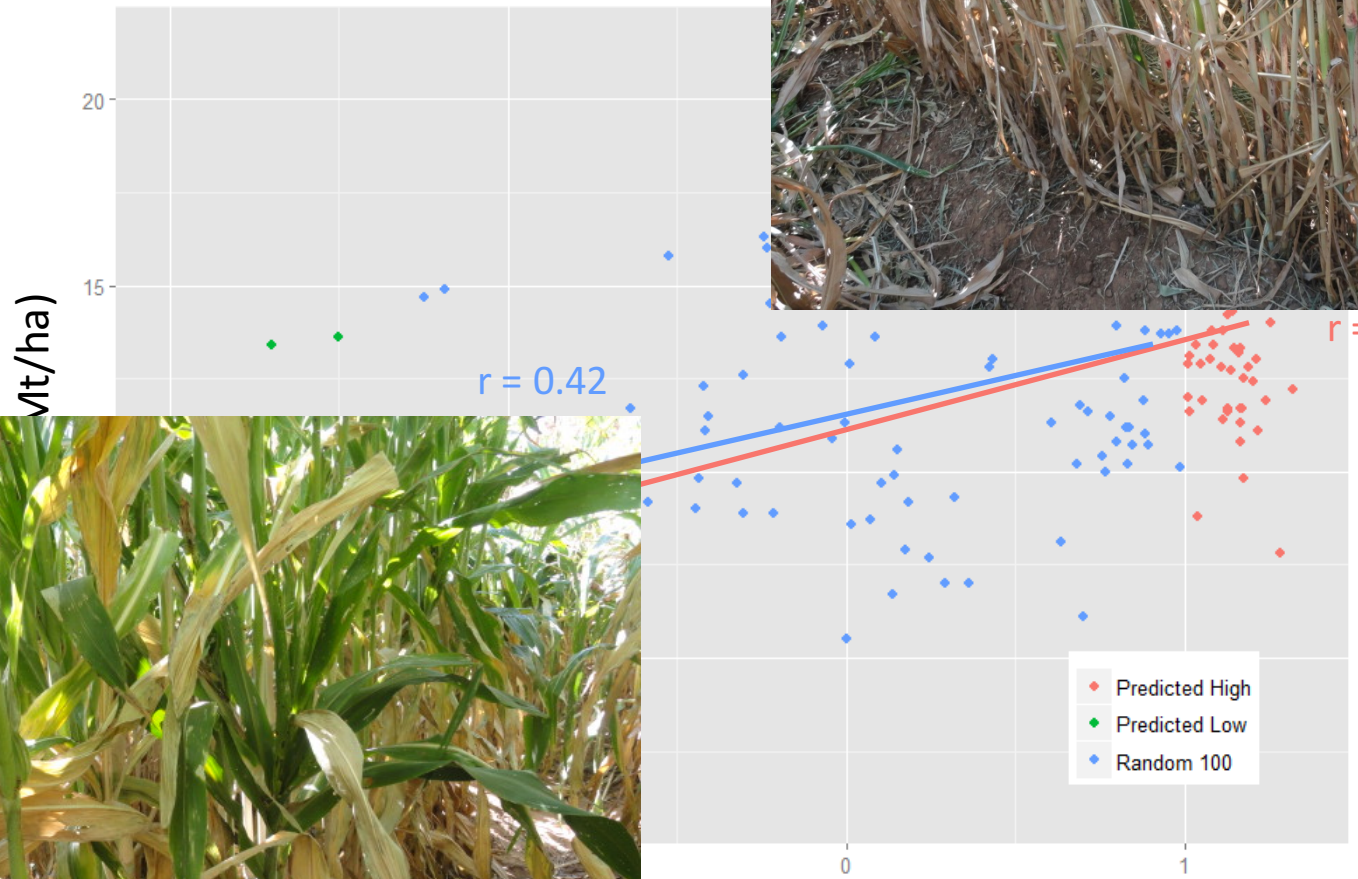




# Genome-wide Prediction of Unphenotyped Individuals

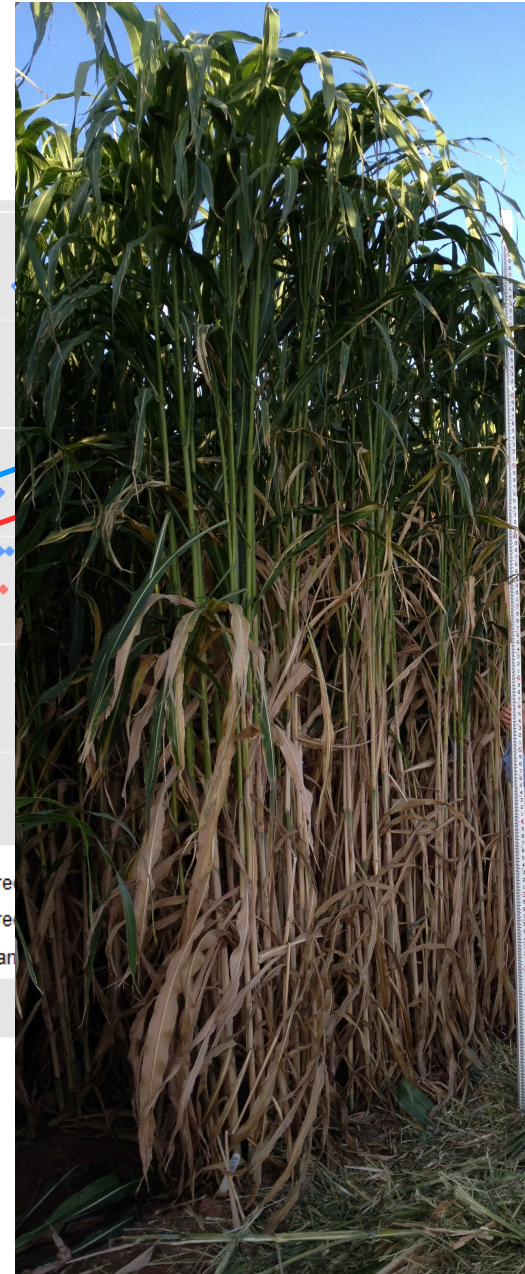
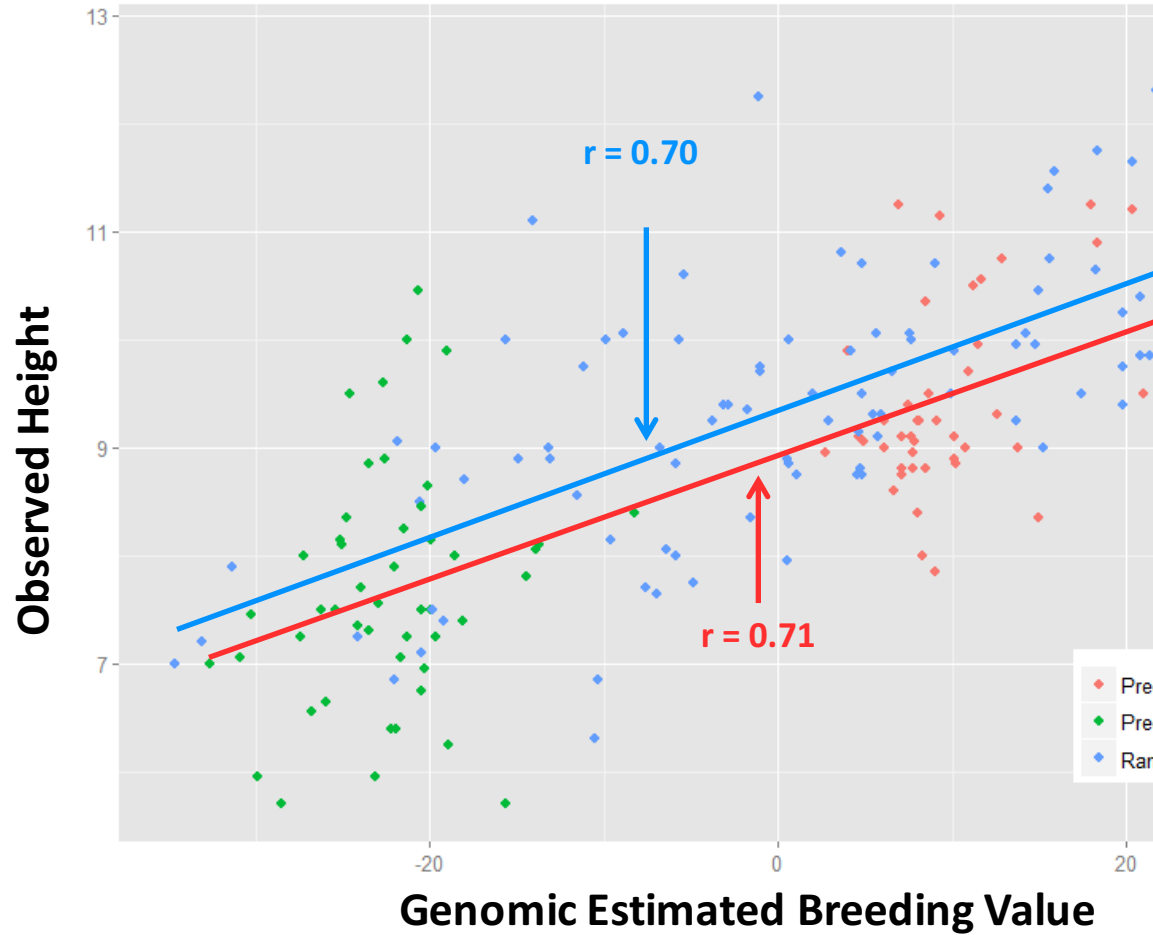


# Biomass

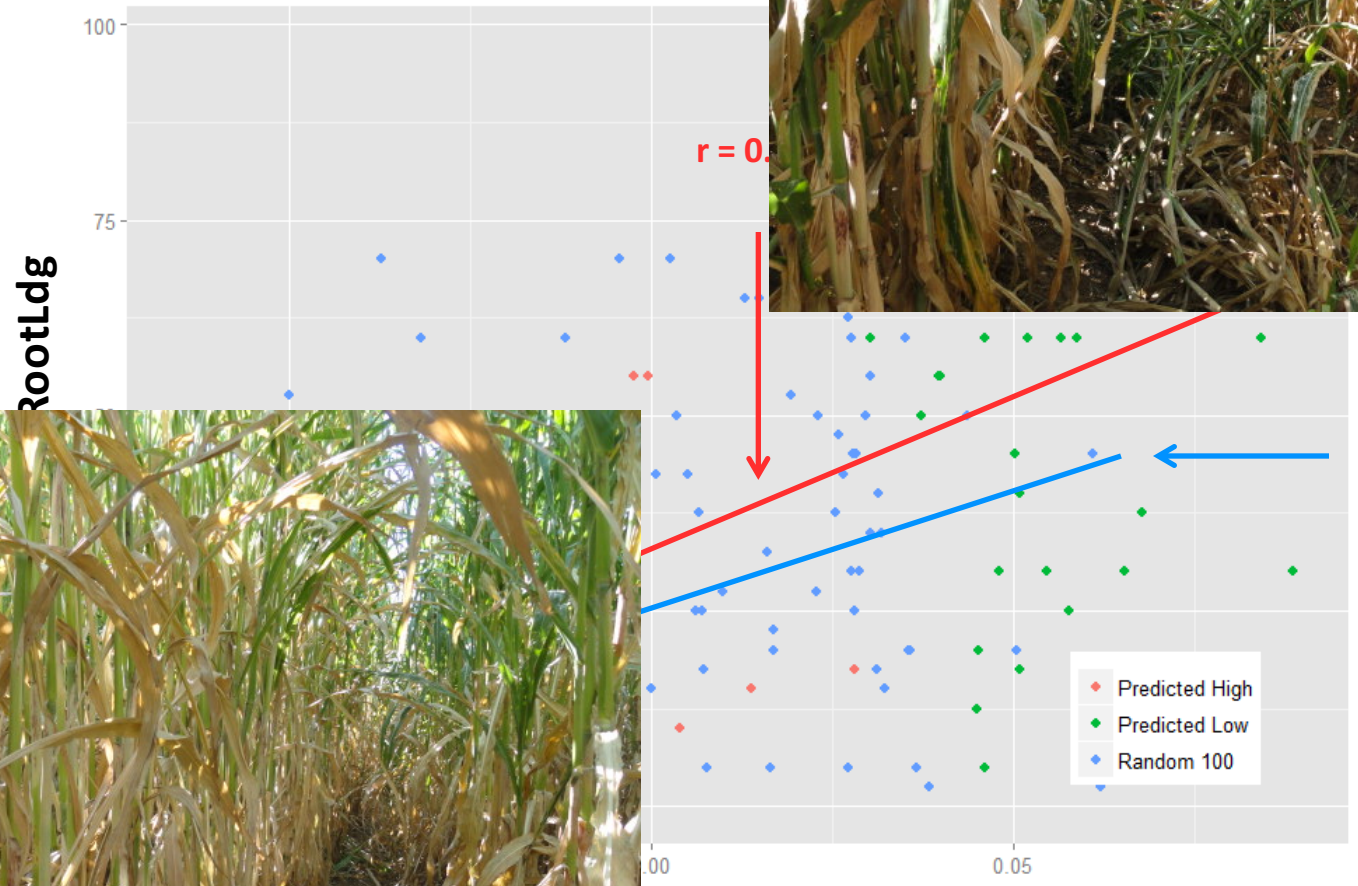


Estimated Breeding Value

# Plant Height

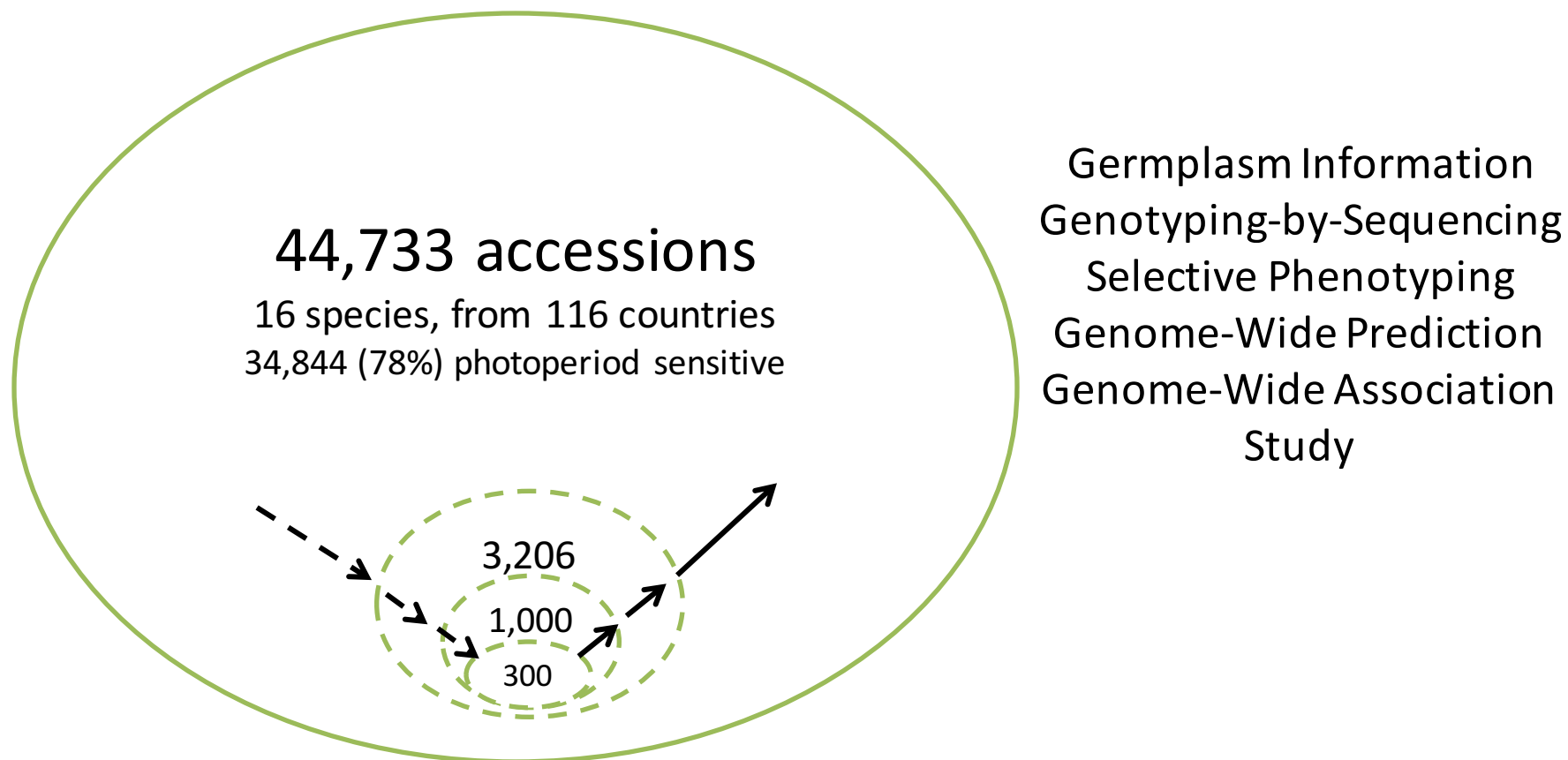


# Root Lo



Bioenergy crops  
provide a great opportunity to  
implement and test new strategies of  
genomics-assisted breeding

# *Why did Things Work out Nicely?*



Breeder's Tenet 6: There is a large amount of **additive genetic variance** for most quantitative traits

# Base Population

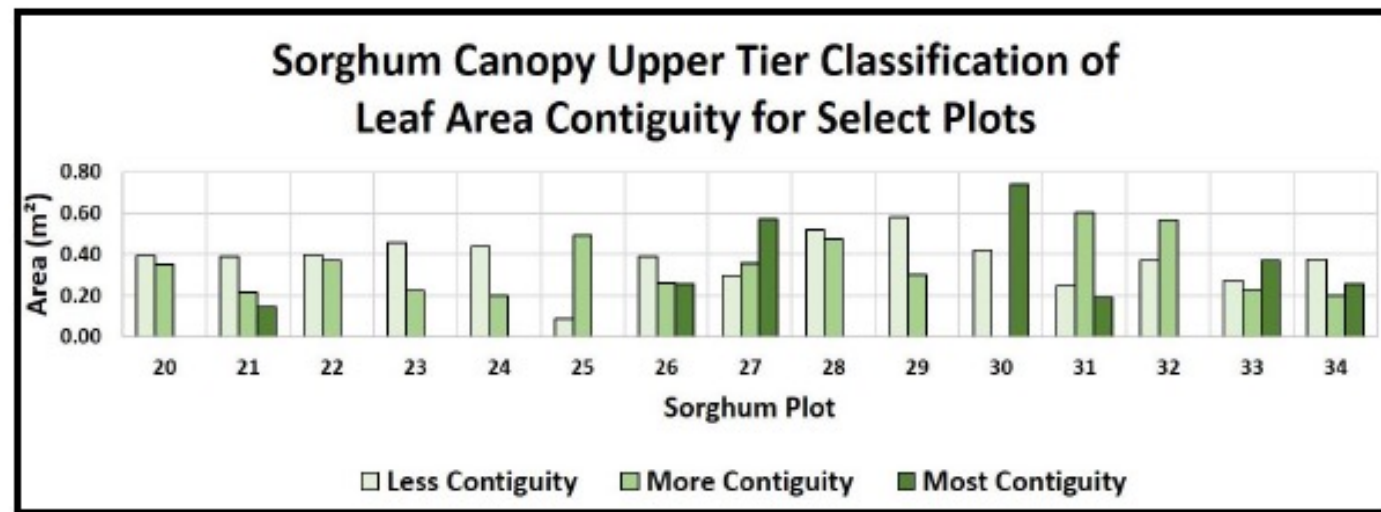
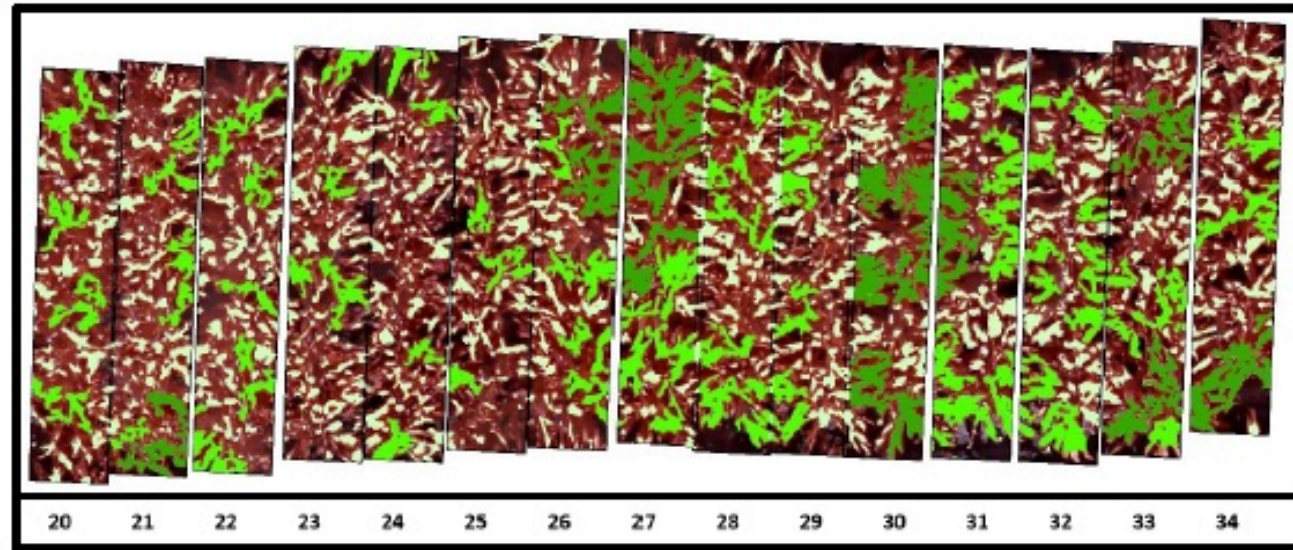
- Breeder's Tenet 1: Definition and exploitation of **base population** of **environments** and **genotypes**
  - *Is it possible to define the base population of genotypes at the germplasm collection level?*
  - *Is it the right time to GBS all accessions of a species in a gene bank?*
  - *Are we saying that one can generate a potentially functional GS model?*

# Phenotyping

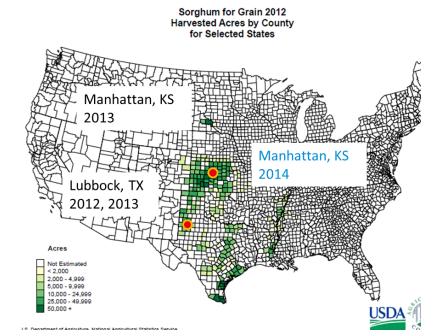
- Breeder's Tenet 3: **Uniform plots** strengthen the association between **phenotype** and **genotype**
- Breeder's Tenet 4. Use of **heritability** of a trait to achieve maximum **gain per year**
  - *Is it important to make sure our phenotypic data for training population reliable? Validation population?*
  - *Can we achieve higher accuracy with High Throughput Phenotyping?*
  - *Will there be many startup companies that are focused on generating high accuracy field-level phenotypes?*



# High Throughput Phenotyping



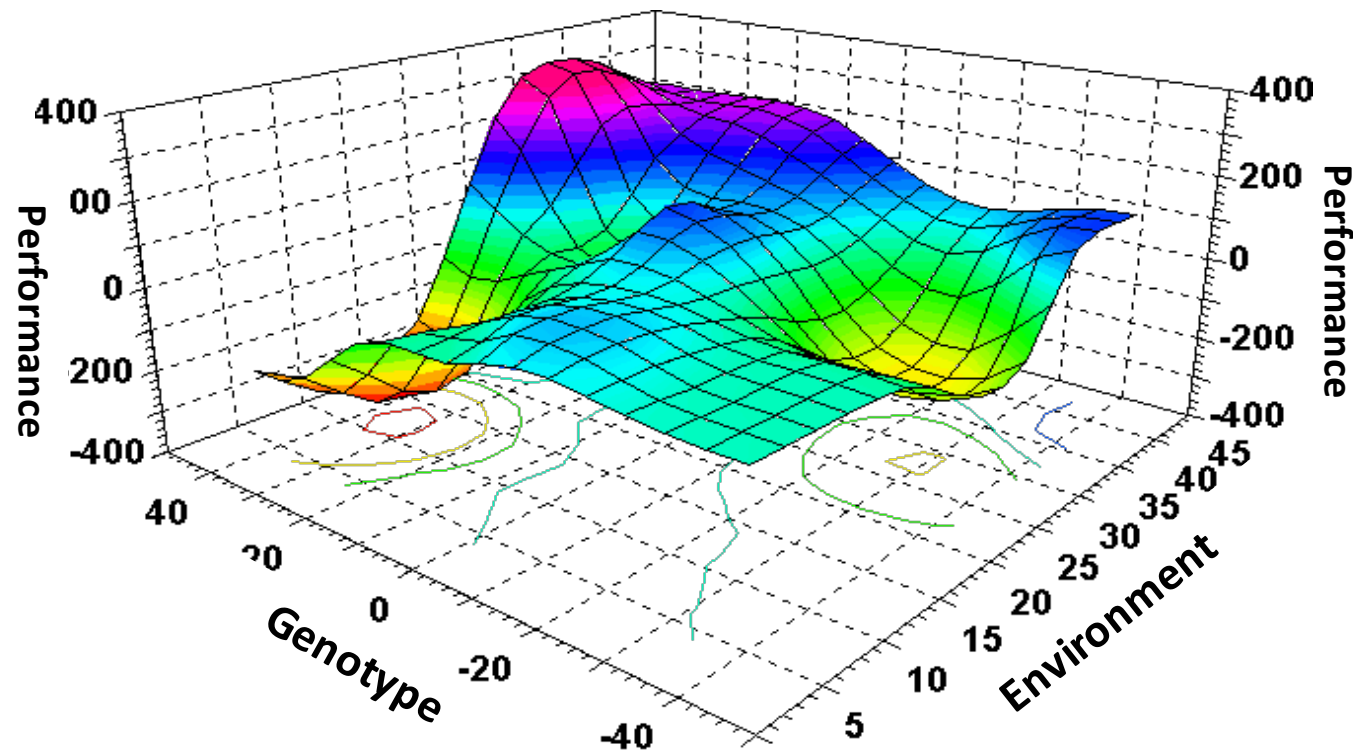
# Environment



- Breeder's Tenet 8: Include **check genotypes** in breeding experiments and know how you will **analyze** an experiment before you plant it.
  - *Should we rely on environment variables to generate the kernel (variance-covariance) among different environments, particularly training and validation stage?*
  - *Should we include a small subset of training population to mark up the testing environments at validation stage?*

# Optimization

- Breeder's Tenet 1: Definition and exploitation of base population of environments and genotypes



# Optimization, Optimization

- *Are there better algorithms to “design” the training population? Testing sites?*
- *Should we consider this design under a “progressive” context so that the validation information can be used to update the prediction model?*
- *Are there options to “optimize” the validation set?*
- *Should we “embed” checks that capture the GxE dynamics so we are well prepared to deal with uncertainties?*

# Positive Perspectives

- *Is it possible to define the base population of genotypes at the germplasm collection level?*
- *Is it the right time to GBS all accessions of a species in a gene bank?*
- *Are we saying that one can generate a potentially functional GS model?*
- *Is it important to make sure our phenotypic data for training population reliable? Validation population?*
- *Can we achieve higher accuracy with High Throughput Phenotyping?*
- *Will there be many startup companies that are focused on generating high accuracy field-level phenotypes?*
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- *Are there better algorithms to “design” the training population? Testing sites?*
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- *Should we “embed” checks that capture the GxE dynamics so we are well prepared to deal with uncertainties?*

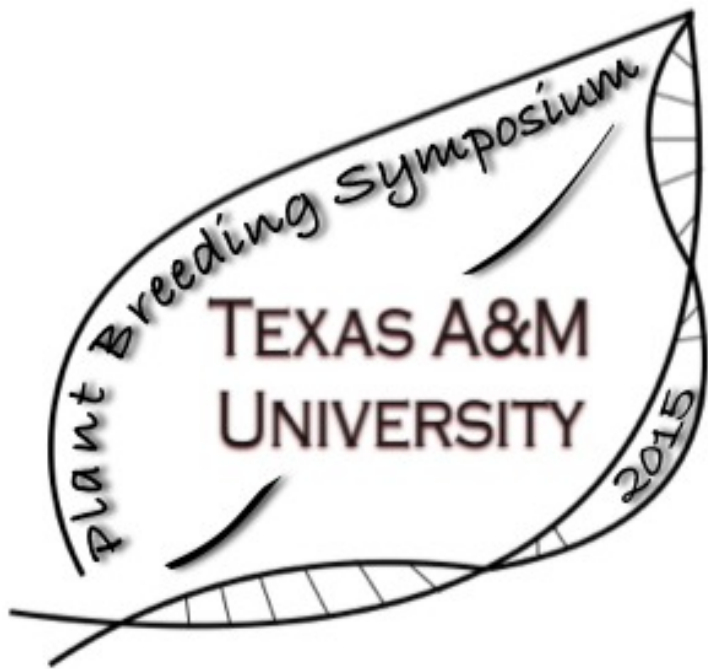
Yes to all these questions!

# Take-home Message

- Genomic Selection is more than a difficult-to-understand equation.

## *What is Genomic Selection?*

- Genomic Selection is a tool/strategy in plant breeding. Itself and the whole process of plant breeding is being, and can be further optimized.



# QUESTION & ANSWER SESSION

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